

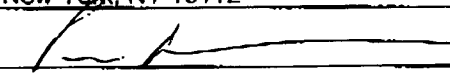
09/786740 #4

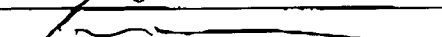
BAKER BOTTS LLPPlease type a plus sign (+) inside this box → **+**

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/786,740
	Filing Date	March 8, 2001
	First Named Inventor	Robert Arthur Sawhill, Jr.
	Group Art Unit	To Be Assigned
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	A34089 PCT USA 069354.0101

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Combined Declaration and Power of Attorney; Petition Under 1.47(a); Declaration of Robert Sawhill under 1.47(a)
Remarks <input type="checkbox"/>		

RECEIVED
 07 JAN 2002
 Legal Staff
 International Division

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	 Att Name: Paul A. Ragusa PTO Reg: 38,587
Date	10-23-01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 10-23-01	
Typed or printed name	Paul A. Ragusa
Signature	 Date: 10-23-01

BEST AVAILABLE COPY

BAKER BOTTS LLP

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)		Docket Number (Optional) A34089 PCT USA 06935
In re Application of Robert Arthur Sawhill, Jr.		
Application Number	09/786,740	Filed March 8, 2001
For INTERFACE DEVICE BETWEEN * see attached		
Group Art Unit	To Be Assigned	Examiner

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$ _____
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$ _____
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$ _____
<input checked="" type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$ 1,440
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$ _____

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ _____.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 02-4377.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☐ attorney or agent of record.

☒ attorney or agent under 37 CFR 1.34(a).
Registration number if acting under 37 CFR 1.34(a) 38,587

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

10/23/01
Date

PTO Reg No.: 38,587

Signature

Paul A. Ragusa

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

BAKER BOTTS LLP

Attorney Docket Number: A34089 PCT USA 069354.0101

Title: INTERFACE DEVICE BETWEEN TESTNG EQUIPMENT AND INTEGRATED CIRCUIT

Use Space Below for Additional Information:

BEST AVAILABLE COPY

BAKER BOTTS LLP**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,610**Complete If Known**

Application Number	09/786,740
Filing Date	March 8, 2001
First Named Inventor	Robert Arthur Sawhill, Jr.
Examiner Name	
Group Art Unit	To Be Assigned
Attorney Docket No.	A34089 PCT USA 069354.0101

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-4377
 Deposit Account Name: Baker Botts LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: \$130.00
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0)**2. EXTRA CLAIM FEES**

Total Claims: 20 -- = 0 x Fee from below = 0
 Independent Claims: 3 -- = 0 x Fee from below = 0
 Multiple Dependent: -- = -- x Fee from below = --

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
18	9	Claims in excess of 20	
84	42	Independent claims in excess of 3	
280	140	Multiple dependent claim, if not paid	
84	42	** Reissue independent claims over original patent	
18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	1,440
1,980	980	Extension for reply within fifth month	
320	180	Notice of Appeal	
320	180	Filing a brief in support of an appeal	
260	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	130
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,610)**SUBMITTED BY**

Name (Print/Type)	Paul A. Ragusa	Registration No. (Attorney/Agent)	38,587	Complete (if applicable)
Signature		Telephone		
		Date	10-23-01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

BEST AVAILABLE COPY

EXPRESS MAIL LABEL NO. EF321680871US

A34089 PCT USA - 069354.0101
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

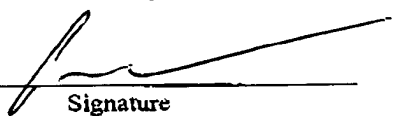
Applicant : Robert Arthur Sawhill, Jr.
Serial No. : 09/786,740
Filed : March 8, 2001
For : INTERFACE DEVICE BETWEEN TESTING
EQUIPMENT AND INTEGRATED CIRCUIT

PETITION
PURSUANT TO 37 C.F.R. §1.47(a)

I hereby certify that on the date set forth below that this paper is being deposited with the United States Postal Service "Express Mail Post Office To Addressee Service" in an envelope with sufficient postage addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on:

October 23, 2001
Date of Deposit

Paul A. Ragusa
Attorney Name


Signature

38,587
PTO Reg. No.

October 23, 2001
Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office mailed April 23, 2001, Applicant Robert A. Sawhill hereby petitions to file the above-identified Application No. 09/786,740 on behalf of himself and on behalf of joint inventor Mr. Paren Shah, who cannot be found. A Combined Declaration and Power of Attorney, appended hereto, has been signed by

NY02:353066.1

BEST AVAILABLE COPY

EXPRESS MAIL LABEL NO. EF321680871US

A34089 PCT USA - 069354.0101
PATENT

Mr. Sawhill, who is the only available joint inventor, on behalf of himself and Mr. Shah.

The signature block of Mr. Shah has been left blank.

This Petition is accompanied by a Declaration of Robert A. Sawhill under 37 C.F.R. § 1.47(a). The Declaration of Mr. Sawhill establishes that Mr. Sawhill has diligently attempted to contact Mr. Paren Shah in order to provide him with a copy of the present application and with a copy of the attached Combined Declaration and Power of Attorney for his signature. In particular, Mr. Sawhill has been unable to locate Mr. Shah because Mr. Shah is no longer employed with Spire Technologies and has not been in contact with any personnel of Spire Technologies. Mr. Sawhill heard from others in the field that Mr. Shah is presently located in California, but was unable to locate a precise address or other contact information for him. Therefore, on October 5, 2001 Mr. Sawhill sent a copy of the Combined Declaration and Power of Attorney and a letter requesting Mr. Shah's signature to Mr. Shah's last known address, 10 Rose Lane #01-01, Singapore 429076, via registered mail. The envelope was returned to Mr. Sawhill marked "UNDELIVERABLE."

Applicant Sawhill respectfully requests that this Petition be granted to file this application on behalf of Mr. Sawhill and Mr. Shah. This Petition is accompanied by a check in the amount of \$130.00 as required under 37 CFR § 1.47(a). The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication to Deposit Account No. 02-4377. A duplicate copy of this sheet is enclosed.

NY02:353066.1

BEST AVAILABLE COPY

FROM BAKERBOTTTS

(FRI) 12. 14' 01 12 /ST. 12:54/NO. 4860225116 P 9

EXPRESS MAIL LABEL NO. EF321680871US

A34089 PCT USA - 069354.0101
PATENT

Respectfully submitted,

Dated: October 23, 2001



Ronald B. Hildreth
Patent Office Reg. No. 19,498

Paul A. Ragusa
Patent Office Reg. No. 38,587

BAKER BOTTTS L.L.P.
30 Rockefeller Plaza
New York, New York 10112-0228

NY02:353066.1

BEST AVAILABLE COPY

FROM BAKERBOTTIS

BAKER BOTTS LLP

Fax receipt will not be confirmed by phone unless requested.

(FRI) 12.14'01 12:54/NO. 4860225116 P 1

30 ROCKEFELLER PLAZA
NEW YORK, NEW YORK
10112-4498
212.705.5000
FAX 212.705.5020
www.bakerbotts.com

AUSTIN
BAKU
DALLAS
HOUSTON
LONDON
MOSCOW
NEW YORK
WASHINGTON

TO **Tamala D. Holland (Box PCT)**

FAX NO. (703) 305-3230

FIRM/COMPANY **United States Patent & Trademark Law Office**

VOICE NO. (703) 305-5483

FROM **Paul Ragusa**

VOICE NO. 212.408.2588

DATE **December 14, 2001**

Andrea

PERSONAL FAX NO. 212.705.5020

PAGES (including cover) 9

MESSAGE

Handwritten:
L.C.
12/14/01
Baker Botts
A. Ragusa

Notice of Confidentiality

The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 212.408.2683 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

If you do not receive all pages, please call: 212.408.2683 for assistance.

BILLING NO. 069354.0101

ATTORNEY/EMPLOYEE NO. 03375

CRITICAL DEADLINE, SEND
BY

AM / PM FILE NO.

NY02:361473.1

BEST AVAILABLE COPY